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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: BALZER et al  
Serial No: 10/805,782  
Filed: March 22, 2004  
For: Extrusion Head and Extrusion Method  
Docket: LIP036

Mail Stop: Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

The references listed on the attached form PTO-1449 relate to the subject matter of the present invention and are brought to the attention of the Patent and Trademark Office pursuant to 37 C.F.R. 1.56 and 1.98.

WO9808668 is not in the English language. By way of a concise explanation of the reference, an English language abstract for WO9808668 is enclosed. Pursuant to 37 CFR 1.98(a)(3)(i) and MPEP 609 a concise explanation of the non-English language information has been provided.

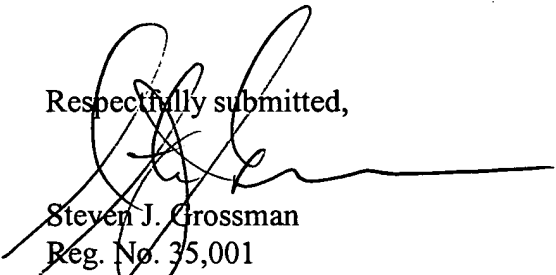
Also, WO9808668 is concisely explained in the Specification on page 2, line 25 through page 4, line 9.

This statement is being submitted prior to a first office action on the merits. While this statement contains all the relevant information presently known to the applicant, it should not be

interpreted as a representation that an exhaustive search has been conducted or that no other relevant information exists. Also, this statement should not be interpreted as a representation that any cited reference is prior art, or that any cited reference is "material to patentability" as defined in 37 CFR §1.56. The applicant invites the Examiner to make an independent evaluation of each cited reference to determine their relevance to the subject matter of the present application.

Finally, the applicant urges that the claims are patentable over the disclosed information.

Respectfully submitted,

  
Steven J. Grossman

Reg. No. 35,001

Grossman, Tucker, Perreault & Pfleger, PLLC

55 South Commercial Street

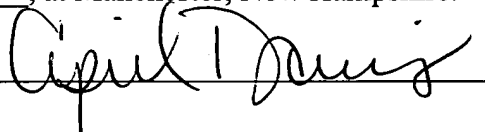
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, PO Box 1450 Alexandria, VA 22313-1450 on August 6, 2004, at Manchester, New Hampshire.

By



**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT****(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.

LIP036

In Re Application Of: **BALZER ET AL**

AUG 10 2004

Serial No.

10/805,782

Filing Date

MARCH 22, 2004

Examiner

Group Art Unit

1732

Title: **EXTRUSION HEAD AND EXTRUSION METHOD**

Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

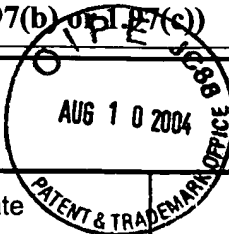
**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) and 1.97(c))

Docket No.  
LIP036

In Re Application: **BALZER ET AL**



Serial No.  
10/805,782

Filing Date  
MARCH 22, 2004

Examiner

Group Art Unit  
1732

**EXTRUSION HEAD AND EXTRUSION METHOD**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
- ☐ Charge the amount of \_\_\_\_\_
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**\*This certificate may only be used if paying by deposit account.**

Signature

**Steven J. Grossman**

**Reg. No. 35,001**

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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on **August 6, 2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


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**APRIL DAVIS**

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Typed or Printed Name of Person Mailing Certificate

Dated: **AUGUST 6, 2004**

CC:

 <p><b>INFORMATION DISCLOSURE CITATION</b></p> <p><i>(Use several sheets if necessary)</i></p>	<b>Docket Number (Optional)</b> <b>LIP036</b>		<b>Application Number</b> <b>10/805,782</b>
	<b>Applicant(s)</b> <b>BALZER ET AL</b>		
	<b>Filing Date</b> <b>MARCH 22, 2004</b>		<b>Group Art Unit</b> <b>1732</b>

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


**EXAMINER**

DATE CONSIDERED

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.